

# Selberg Trace Formula for Compact Quotients

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# Outline

## Introduction

The title of this seminar indicates that we hope to reach the goal of understanding Arthur's Trace Formula, a beefed up version of the Poisson summation formula for non-compact quotient groups. Before we try to understand Arthur's Trace Formula, we examine its predecessor, the Selberg Trace Formula (1956) for compact quotients.

## Plan for this lecture

- 1 Define Haar measures on locally compact topological groups and unimodular groups.
- 2 Define right regular representations and recall integral kernels.
- 3 Examine the spectral side of the Trace Formula.
- 4 Examine the geometric side of the Trace Formula.
- 5 State the Selberg Trace Formula.

# Locally Compact Topological Groups

## Topological groups

A **topological group**  $G$  is a group that is also a topological space, having the property that multiplication  $(g_1, g_2) \mapsto g_1 g_2$ , and inversion  $g \mapsto g^{-1}$  are both continuous.

A topological group  $G$  is a **locally compact group** if  $G$  is locally compact as a topological space.

## Haar measure

A **left invariant Haar measure** on  $G$  is a measure  $\mu : B \rightarrow [0, \infty)$ , where  $B$  is a  $\sigma$  algebra containing all Borel sets of  $G$ , such that

- $\mu(K) < \infty$  for any compact set  $K \in B$ ,
- $\mu(gS) = \mu(S)$  for all  $g \in G$  and  $S \in B$ ,
- Every Borel set  $E$  is outer regular,
- Every open set  $E$  is inner regular.

# Selberg TF for $\Gamma$ cocompact (1956)

## Notations

- $G$  denotes a locally compact group,  $\Gamma$  a discrete cocompact subgroup, i.e.,  $\Gamma \backslash G$  is compact.
- $L^2(\Gamma \backslash G)$  is thus well defined with a action  $G$ .
- $\mathcal{C}_c^c(G)$  denotes the set of continuous, compactly supported functions on  $G$ .
- Since  $G$  is compact, with  $\Gamma$  cocompact, there is a Haar measure which is both left and right invariant, i.e.  $G$  is **unimodular**.

## Right regular representation operator $R$

For  $f \in \mathcal{C}_c^c(G)$ ,  $\varphi \in L^2(\Gamma \backslash G)$ , define a right regular representation operator  $R$ :

$$(R(f)\varphi)(x) = \int_G \varphi(xy) f(y) dy.$$

# The Kernel $K_f(x, y)$

Obtain  $K_f(x, y)$

$$\begin{aligned}(R(f)\varphi)(x) &= \int_G \varphi(xy) f(y) dy \\ &= \int_G \varphi(y) f(x^{-1}y) dy \\ &= \int_{\Gamma \backslash G} \left( \sum_{\gamma \in \Gamma} f(x^{-1}\gamma y) \right) \varphi(y) dy \\ &:= \int_{\Gamma \backslash G} K_f(x, y) \varphi(y) dy\end{aligned}$$

Since  $f$  is compactly supported,  $K_f(x, y)$  converges, and

$$\int_{\Gamma \backslash G} \int_{\Gamma \backslash G} |K_f(x, y)| dx dy < c$$

## Recall facts from operator theory

$K_f \in L^2(\Gamma \setminus G \times \Gamma \setminus G)$ , a Hilbert-Schmidt space.

Recall from operator theory, the following relations among Hilbert spaces:

$$\left\{ \begin{array}{c} \text{bounded} \\ \text{operators} \end{array} \right\} \supset \left\{ \begin{array}{c} \text{compact} \\ \text{operators} \end{array} \right\} \supset \left\{ \begin{array}{c} \text{Hilbert-Schmidt} \\ \text{operators} \end{array} \right\} \supset \left\{ \begin{array}{c} \text{trace class} \\ \text{operators} \end{array} \right\}$$

For every  $f \in \mathcal{C}_c^c(G)$ ,  $R(f)$  is Hilbert-Schmidt, thus a compact operator

Let  $(R, \mathcal{H})$  be a pair consisting of a unitary representation of  $G$  and a Hilbert space, such that  $R(f)$  is compact for all  $f \in \mathcal{C}_c^c(H)$ , then

$$\mathcal{H} = \widehat{\bigoplus \mathcal{H}_\pi}, \quad \text{where} \quad \mathcal{H}_\pi = V_\pi \otimes M_\pi,$$

where  $(\pi, V_\pi)$  is an irreducible unitary representation and  $M_\pi$  a vector space with action, and  $\dim M_\pi = m_\pi < \infty$ . Remark: since  $R$  compact, the decomposition is discrete with finite multiplicity.

# Spectral side of the Selberg TF (1/2)

## Corollary to the above

$$L^2(\Gamma \backslash G) = \widehat{\bigoplus} (V_\pi \otimes M_\pi)$$

discrete sum of irreducible representations, thus it only has a discrete spectrum.

Any  $f \in \mathcal{C}_c^\infty(G)$  is of the form

$$f = \sum_{i \text{ finite}} f_i * f'_i, \quad \text{where } f_i, f'_i \in \mathcal{C}_c^\infty(G).$$

Now we can factorize  $R$  as

$$R(f) = \sum_{i \text{ finite}} R(f_i)R(f'_i),$$

since both  $R(f_i)$  and  $R(f'_i)$  are Hilbert-Schmidt, their product is trace class and hence  $R(f)$ , a finite sum of trace class operators is trace class.

## Spectral side of the Selberg TF (2/2)

### Spectral side of Selberg TF

$$\mathrm{tr} R(f) = \sum_{\pi \in \widehat{G}} m_{\Gamma}(\pi) \mathrm{tr} \pi(f)$$

where  $\widehat{G}$  the dual of  $G$ , is the set of equivalence classes of irreducible representations of  $G$  in this case.

### a word about $\pi(f)$

for a representation  $(\pi, V_{\pi})$  of  $G$ ,  $V_{\pi}$  is a Hilbert space, for  $v \in V_{\pi}$  and  $x \in G$ ,

$$\pi(f) := \int f(x) \pi(x) dx.$$



# Geometric side of the Selberg TF (1/2)

## A Theorem from operator theory

Assume that a kernel  $K(x, y)$  on  $X \times X$  is

- 1 **continuous** in  $x, y$ ,
- 2 represents a **trace class** operator,

then

$$\mathrm{tr} R = \int_X K(x, x) dx.$$

If you are too lazy to do the above...

If

$$K(x, y) = \int_X K_1(x, z) \overline{K_2(z, y)} dz$$

with  $K_i$ ,  $i = 1, 2$  Hilbert-Schmidt. Then

$$\mathrm{tr} K = \int_X K(x, x) dx = \int_X \int_X K_1(x, z) \overline{K_2(z, x)} dz dx = \langle K_1, K_2 \rangle_{L^2(K_1, K_2)}.$$

## Geometric side of the Selberg TF (2/2)

$$\begin{aligned}\mathrm{tr}(R(f)) &= \int_{\Gamma \backslash G} K(x, x) dx \\ &= \int_{\Gamma \backslash G} \sum_{\gamma \in \Gamma} f(x^{-1} \gamma x) dx \\ &= \int_{\Gamma \backslash G} \sum_{\gamma \in \{\Gamma\}} \sum_{\xi \in \Gamma_{\gamma} \backslash \Gamma} f(x^{-1} \xi^{-1} \gamma \xi x) dx \\ &= \sum_{\gamma \in \{\Gamma\}} \int_{\Gamma \backslash G} \sum_{\xi \in \Gamma_{\gamma} \backslash \Gamma} f(x^{-1} \xi^{-1} \gamma \xi x) dx \\ &= \sum_{\gamma \in \{\Gamma\}} \int_{\Gamma_{\gamma} \backslash G} f(x^{-1} \gamma x) dx \\ &= \sum_{\gamma \in \{\Gamma\}} \mathrm{vol}(\Gamma_{\gamma} \backslash G_{\gamma}) \int_{G_{\gamma} \backslash G} f(x^{-1} \gamma x) dx\end{aligned}$$

# The Selberg TF and (familiar) examples

## Selberg TF for $\Gamma$ cocompact

For  $f \in \mathcal{C}_c^c(G)$ ,

$$\sum m_{\Gamma}(\pi) \operatorname{tr} \pi(f) = \sum_{\gamma \in \{\Gamma\}} \operatorname{vol}(\Gamma_{\gamma} \backslash G_{\gamma}) \int_{G_{\gamma} \backslash G} f(x^{-1} \gamma x) dx$$

## (Familiar) examples

- ①  $\Gamma = \{1\}$ ,  $G$  compact, then the Selberg TF reads

$$\sum m(\pi) \operatorname{tr} \pi(f) = f(1),$$

with  $m(\pi) = \dim \pi$ , i.e., it is just the usual Plancherel formula.

- ②  $\Gamma$  cocompact,  $G$  **abelian**, then the Selberg TF is just the Poisson summation formula.

# Problems with $G$ being non-compact and Future topics

## Problems with non-compact $G$ and the remedy

- 1 The kernel

$$K_f(x, y) = \sum_{\gamma} f(x^{-1}\gamma y)$$

is **no longer integrable** over the diagonal.

The remedy: **modify this kernel**.

- 2 The regular representation  $R(f)$  **no longer decomposes discretely**, **Eisenstein series** are required to describe the **continuous spectrum**, and  $R(f)$  is of course no longer of trace class.

The remedy: **truncation**.

## Future topics

- 1 Eisenstein series and intertwining operators.
- 2 Non-compact quotient and parabolic subgroups.
- 3 Modified kernels and Arthur TF.